

INFORMATION DISCLOSURE STATEMENT

SHEET 1 OF 2

Complete if known

Application Number: 09/737,308

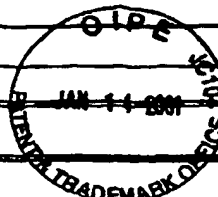
Filing Date: December 15, 2000

First Named Inventor: CHUNG, Kevin Kwong-Tai

Group Art Unit: 2676

Examiner Name: T.L.E.

Attorney Docket Number: AI-TECH-30



UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
<i>[Signature]</i>		4,373,134	02/08/1983	Grace et al
<i>[Signature]</i>		6,081,793	06/27/2000	Challener et al

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
<i>[Signature]</i>		M. Bellis, <u>Inventors</u> , <u>History of Voting Machines</u> , 6 Pages, http://inventors.about.com/science/inventors/library/weekly... ✓
<i>[Signature]</i>		Microvote Corp., <u>Microvote Infinity</u> , www.microvote.com/howto.htm , Indianapolis, IN, 6 Pages, not dated. ✓
<i>[Signature]</i>		<u>AccuVote-TS</u> , http://www.gesn.com/AccuVote-TS/accuvote-ts.html , Global Election Systems, Inc., 4 Pages, not dated. ✓
<i>[Signature]</i>		NEDAP Voting System, 4 Pages, © 2000 ✓
<i>[Signature]</i>		Surveys International, <u>TouchVote</u> , ACEEEO Conference, "Information Technology in Elections", Warsaw, Poland, 2 Pages 14-16, June 2000 ✓
<i>[Signature]</i>		UniLect Corporation, <u>The Patriot Voting System</u> , http://www.unilect.com/patpack.html , 4 Pages, © 1996 ✓
<i>[Signature]</i>		Hart Interactive, <u>eSlate Electronic Voting System</u> , http://www.worldwideelection.com/GoveSlate.cfm , 2 Pages, © 1998-2000 ✓
<i>[Signature]</i>		Monterey County Election Dept., <u>Early Voting Information</u> , http://www.mocovote.org/touchscreen/index.htm , 8 Pages, not dated. ✓
<i>[Signature]</i>		Shoup Voting Solutions Inc., <u>Services</u> , http://www.shoupvote.com/services.html , 1 Page, not dated. ✓
<i>[Signature]</i>		VoteHere.net, http://votehere.net/VH-Content-v2.0/platinuminfo.html , 1 Page, © 1999-2000 ✓

EXAMINER'S SIGNATURE	<i>[Signature]</i>	DATE CONSIDERED	6/29/03
----------------------	--------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.

BEST AVAILABLE COPY

INFORMATION DISCLOSURE STATEMENT

SHEET 2 OF 2

Complete if known

Application Number: 09/737,306

Filing Date: December 15, 2000

First Named Inventor: CHUNG, Kevin Kwong-Tai

Group Art Unit: *2876*

Examiner Name: *TILE*

Attorney Docket Number: AI-TECH-30

ML
WJ Webvote Inc., Laptop Software for the New Generation of Voting,
<http://www.webvote-inc.com/laptop.htm>, 2 Pages, © 1999 ✓

Fargo Electronics, "The ID With A Ph.D.", advertisement, 1 Page (source and date unknown)



EXAMINER'S
SIGNATURE

Mark Thompson

DATE
CONSIDERED

6/30/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation is in conformance and reference not considered, but not a copy of this form with said communication to applicant.

176

INFORMATION DISCLOSURE STATEMENT

OTPE
16 2002

Complete if known

Application Number: 09/737,308

Filing Date: December 15, 2000

First Named Inventor: CHUNG, Kevin Kwong-Tai

Group Art Unit: 2163-2676

Examiner Name: T. LE

Attorney Docket Number: AI-TECH-30

RECEIVED
MAY 20 2002

SHEET 1 OF 1

Technology Center 2100

UNITED STATES PATENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR

FOREIGN PATENT DOCUMENTS					
EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

OTHER PRIOR ART - NON-PATENT DOCUMENTS		
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
<i>ML</i>		FEDERAL ELECTION COMMISSION, "Performance And Test Standards For Punchcard, Marksense, And Direct Recording Electronic Voting Systems" January, 1990, Pages (Including I-xvi, 12-19, 28-35, 45-55, C1-C3 and E1-E10)
<i>ML</i>		ALAN DECHERT, "The Voter Certified Ballot", Granite Bay, CA., http://www.go2zero.com/votereform.html , February 13, 2001, 15 Pages.
<i>ML</i>		MIKE McLAUGHLIN, "Voting Receipt", http://catless.ncl.ac.uk/Risks/2.22.html , 1986, 1 Page.
<i>ML</i>		ROBERT WRIGHT, "Recasting The Voting Process", www.varbusiness.com , March 5, 2001, 4 Pages.

RECEIVED
MAY 23 2002
GROUP 3600

EXAMINER'S SIGNATURE	<i>Mark Vothay</i>	DATE CONSIDERED	6/30/02
----------------------	--------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if

INFORMATION DISCLOSURE STATEMENT

SHEET 1 OF 1

Complete if known

Application Number: 09/737,308

Filing Date: December 15, 2000

First Named Inventor: CHUNG

Group Art Unit: 2183 2276

Examiner Name: T. LE

Attorney Docket Number: AI-TECH-30

RECEIVED

NOV 08 2002

GROUP 3600

UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
MM		5,189,288	02/23/1993	Anno et al
MM		5,218,528	06/08/1993	Wise et al
MM		5,583,329	12/10/1996	Davis et al
MM		5,610,383	03/11/1997	Chumbley
MM		5,612,871	03/18/1997	Skaggs
MM		5,875,432	02/23/1999	Sehr
MM		5,878,399	03/02/1999	Peralto
MM		US 2002/0072961 A1	08/13/2002	McDermott et al

FOREIGN PATENT DOCUMENTS


EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
MM		BRUCE SCHNEIER, "Crypto-Gram", http://www.notablessoftware.com/Press/Schneier.html , December 15, 2000, 3 Pages.
MM		MICHAEL STANTON, "The Importance Of Recounting Votes", http://www.notablessoftware.com/Press/electronic_voting_in_brasil.htm , Rio De Janeiro, Brazil, November 13, 2000, 3 Pages.
MM		PETER G. NEUMANN, "Internet and Electronic Voting", <i>The Risks Digest</i> , Volume 21, Issue 14, http://www.notablessoftware.com/Papers/Risks2114.html , December 12, 2000, 3 Pages.
MM		PETER G. NEUMANN, "Security Criteria For Electronic Voting", http://www.csl.srl.com/users/neumann/nes93.html , Menlo Park, CA, September 20-23, 1993, 3 Pages.

EXAMINER'S SIGNATURE	<i>Mark D. ...</i>	DATE CONSIDERED	6/30/03
----------------------	--------------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if not in conformance.

 INFORMATION DISCLOSURE STATEMENT SHEET 1 OF 1	<i>Complete if known</i>	
	Application Number: 09/737,306	
	Filing Date: December 15, 2000	
	First Named Inventor: CHUNG	RECEIVED FEB 07 2003 GROUP 3600
	Group Art Unit: 2483 <i>BTC</i>	
Examiner Name: <i>FILE</i>	Attorney Docket Number: AI-TECH-30	

UNITED STATES PATENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
<i>[Signature]</i>		5,821,508	10/13/1998	Willard
<i>[Signature]</i>		6,250,548	06/26/2001	McClure et al
<i>[Signature]</i>		US 2001/0013547 A1	08/16/2001	Kotob et al

FOREIGN PATENT DOCUMENTS					
EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
<i>[Signature]</i>		WO99/52058	PCT	10/14/1999	Kabushiki Kaisha Sejkohosenta

OTHER PRIOR ART - NON-PATENT DOCUMENTS		
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
<i>[Signature]</i>		International Search Report, PCT/US01/45769, January 6, 2003, 4 Pages.

RECEIVED
 FEB 13 2003
 TECHNOLOGY CENTER 2800

EXAMINER'S SIGNATURE	<i>[Signature]</i>	DATE CONSIDERED	6/7/03
----------------------	--------------------	-----------------	--------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if

INFORMATION DISCLOSURE STATEMENT



Complete if known

Application Number: 09/737,306

Filing Date: December 15, 2000

First Named Inventor: Kevin Kwong-Tai CHUNG

Group Art Unit: ~~2676~~ 2636

Examiner Name: Mark Tremblay

RECEIVED

AUG 22 2003

SHEET 1 OF 1

Attorney Docket Number: AI-TECH-30

Technology Center 2600

UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
<i>[Signature]</i>		4,641,240	02/03/1987	Boram
<i>[Signature]</i>		5,278,753	01/11/1994	Graft, III
<i>[Signature]</i>		5,758,325	05/26/1998	Lohry et al

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
<i>[Signature]</i>		INTERNATIONAL SEARCH REPORT, PCT/US02/24358, 3 Pages.

EXAMINER'S
SIGNATURE

[Signature]

DATE
CONSIDERED

1/23/2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT	<i>Complete if known</i>
	Application Number: 09/737,306
	Filing Date: December 15, 2000
	First Named Inventor: Kevin Kwong-Tai CHUNG
	Group Art Unit: 2827 2876
	Examiner Name: Mark S. Tremblay
SHEET 1 OF 2	Attorney Docket Number: AI-TECH-30

UNITED STATES PATENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR




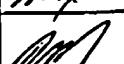
FOREIGN PATENT DOCUMENTS					
EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
<i>M.S.T.</i>		2 739 474 A1	FR	04/04/1997	Serpeinesm
<i>M.S.T.</i>		0 419 335 A1	EP	03/27/1991	PGS Sarl


OTHER PRIOR ART - NON-PATENT DOCUMENTS		
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
<i>M.S.T.</i>		A. RIERA, J. BORRELL, J. RIFA, "An uncoercible verifiable electronic voting protocol," Proceedings of IFIP SEC '98, Online, 4 September 1998 (1998-09-04), Pages 206-215, XP002272039, Austria
<i>M.S.T.</i>		BENALOH J ET AL, "Receipt-Free Secret-Ballot Elections (Extended Abstract)," PROCEEDINGS OF THE ANNUAL ACM SYMPOSIUM ON THE THEORY OF COMPUTING, XX, XX, 1994, Pages 544-553, XP002099996.
<i>M.S.T.</i>		JINN-KE JAN ET AL, "A Secure Electronic Voting Protocol With IC Cards," SECURITY TECHNOLOGY, 1995. PROCEEDINGS, INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS 29TH ANNUAL 1995 INTERNATIONAL CARNAHAN CONFERENCE ON SANDERSTEAD, UK 18-20 OCTOBER. 1995, New York, NY USA, 18 October 1995, Pages 259-265, XP010196424.
<i>M.S.T.</i>		esp@cenet - Document Bibliography and Abstract, " Voting Method And Means For Carrying Out This Method," Patent Number: EP0419335, Publication Date: 1991-03-27, Applicant: PGS SARL, Printed April 29, 2004, 1 Page.
<i>M.S.T.</i>		osp@cenet - Document Bibliography and Abstract, " No English Title Available," Patent Number: FR2739474, Publication Date: 1997-04-04, Printed April 29, 2004, 1 Page.

EXAMINER'S SIGNATURE	<i>Mark Tremblay</i>	DATE CONSIDERED	6/24/04
-------------------------	----------------------	--------------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT	Complete if known
	Application Number: 09/737,306
	Filing Date: December 15, 2000
	First Named Inventor: Kevin Kwong-Tai CHUNG
	Group Art Unit: 2827 2874
Examiner Name: Mark S. Tremblay	
SHEET 2 OF 2	Attorney Docket Number: AI-TECH-30

	ENGLISH LANGUAGE-ABSTRACTS, For FR 2739474 A1 (WPI ACC No.: 1997-238623/199722) and for EP 419335 A (WPI ACC No.: 1991-088914/199113), < http://www.dialogclassic.com/COMMAND.HTML >, Printed April 29, 2004, 1 Page.
	SCIENTIFIC TRANSLATION SERVICES, FR 2739474, English Translation of pages 5-6, and figures (Translated May 2004), 9 Pages.
	SCIENTIFIC TRANSLATION SERVICES, "Novel Voting Process and Means For Carrying Out Same," [EPO 0 419 335 A1] English Translation of coloum 1-10, (Translated May 2004), 8 pages.
	EUROPEAN PATENT OFFICE, "Communication and Supplementary European Search Report," EP No.: 0127 3930.6-2221-US0145769, 24 March 2004, 5 Pages.

EXAMINER'S SIGNATURE		DATE CONSIDERED	6/24/04
-------------------------	---	--------------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 5609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.